

- Recall that a function in Haskell accepts one argument and returns one result.

```
peanuts → chocolate-covered peanuts
raisins → chocolate-covered raisins
ants    → chocolate-covered ants
```

Using the **lambda calculus**, a general “chocolate-covering” function (or rather **λ -expression**) is described as follows:

$$\lambda x. \text{chocolate-covered } x$$

... and then we can get chocolate-covered ants by **applying** this function:

$$(\lambda x. \text{chocolate-covered } x) \text{ ants} \rightarrow \text{chocolate-covered ants}$$

HASKELL AND LAMBDA CALCULUS

- In a Haskell program, we write functions and then apply them.
 - Haskell programs are nothing more than collections of λ -expressions, with added sugar for convenience (and diabetes).
 - We write a Haskell program by writing λ -expressions and giving names to them.


```
succ x = x + 1          succ = \ x -> x + 1
length = foldr oneplus 0  length = foldr (\ x -> \ n -> 1+n) 0
  where oneplus x n = 1+n  -- shorthand: (\ x n -> 1+n)
Main> succ 10          Main> (\ x -> x + 1) 10
11                    11
```
 - Another example: `map (\ x -> x+1) [1,2,3]` maps (i.e., applies) the λ -expression $\lambda x.x + 1$ to all the elements of the list, thus producing `[2,3,4]`.
 - In general, for some expression E , $\lambda x.E$ (in Haskell-speak: `\ x -> E`) denotes the function that maps x to the (value of) E .

- A general covering function:

$$\lambda y. \lambda x. y\text{-covered } x$$

The result of the application of such a function is itself a function:

$$(\lambda y. \lambda x. y\text{-covered } x) \text{ caramel} \rightarrow \lambda x. \text{caramel-covered } x$$

$$\begin{aligned} ((\lambda y. \lambda x. y\text{-covered } x) \text{ caramel}) \text{ ants} &\rightarrow (\lambda x. \text{caramel-covered } x) \text{ ants} \\ &\rightarrow \text{caramel-covered ants} \end{aligned}$$

- Functions can also be parameters to other functions:

$$\lambda f. (f) \text{ ants}$$

$$\begin{aligned} ((\lambda f. (f) \text{ ants}) \lambda x. \text{chocolate-covered}) x &\rightarrow (\lambda x. \text{chocolate-covered } x) \text{ ants} \\ &\rightarrow \text{chocolate-covered ants} \end{aligned}$$

LAMBDA CALCULUS

- The lambda calculus is a formal system designed to investigate function definition, function application and recursion. It was introduced by Alonzo Church and Stephen Kleene in the 1930s.
- We start with a countable set of **identifiers**, e.g., $\{a, b, c, \dots, x, y, z, x1, x2, \dots\}$ and we build expressions using the following rules:


```
LEXPRESSION → IDENTIFIER
LEXPRESSION → λIDENTIFIER.LEXPRESSION (abstraction)
LEXPRESSION → (LEXPRESSION)LEXPRESSION (combination)
LEXPRESSION → (LEXPRESSION)
```

 - In an expression $\lambda x.E$, x is called a **bound variable**. A variable that is not bound is a **free variable**.
- Syntactical sugar: Normally, no literal constants exist in lambda calculus. We use, however, literals for clarity.
 - Further sugar: HASKELL!!

- In lambda calculus, an expression $(\lambda x.E)F$ can be **reduced** to $E[F/x]$ ($E[x := F]$ in the textbook). $E[F/x]$ stands for the expression E , where F is **substituted** for all the bound occurrences of x .
- In fact, there are three reduction rules:
 - α : $\lambda x.E$ reduces to $\lambda y.E[y/x]$ if y is not free in E (**change of variable**).
 - β : $(\lambda x.E)F$ reduces to $E[F/x]$ (**functional application**).
 - γ : $\lambda x.(Fx)$ reduces to F if x is not free in F (**extensionality**).
- The purpose in life of a Haskell program, given some expression, is to repeatedly apply these reduction rules in order to bring that expression to its “irreducible” form (formally, **normal form**).

WHICH ONE?

- You may have noticed that more than one order of reduction is possible in lambda calculus (and thus in Haskell):

```
square :: Integer -> Integer
square x = x * x
```

```
smaller :: (Integer, Integer) -> Integer
smaller (x,y) = if x<=y then x else y
```

$square\ (smaller\ (5,78))$ \Rightarrow (def. smaller) $square\ 5$ \Rightarrow (def. square) 5×5 \Rightarrow (def. \times) 25	$square\ (smaller\ (5,78))$ \Rightarrow (def. square) $(smaller\ (5,78)) \times (smaller\ (5,78))$ \Rightarrow (def. smaller) $5 \times (smaller\ (5,78))$ \Rightarrow (def. smaller) 5×5 \Rightarrow (def. \times) 25
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- Of course, one would not want to program without constants, but this is in fact possible:

```
true      =  $\lambda x.\lambda y.x$ 
false     =  $\lambda x.\lambda y.y$ 
if-then-else =  $\lambda a.\lambda b.\lambda c.((a)b)c$ 
```

```
((if-then-else)false)caramel)chocolate
 $\xRightarrow{\beta}$  ((( $\lambda a.\lambda b.\lambda c.((a)b)c$ ) $\lambda x.\lambda y.y$ )caramel)chocolate
 $\xRightarrow{\beta}$  (( $\lambda b.\lambda c.((\lambda x.\lambda y.y)b)c$ )caramel)chocolate
 $\xRightarrow{\beta}$  ( $\lambda c.((\lambda x.\lambda y.y)caramel)c$ )chocolate
 $\xRightarrow{\beta}$  (( $\lambda x.\lambda y.y$ )caramel)chocolate
 $\xRightarrow{\beta}$  ( $\lambda y.y$ )chocolate
 $\xRightarrow{\beta}$  chocolate
```

WHICH ONE? (CONT'D)

- Sometimes it even matters...

```
three :: Integer -> Integer
three x = 3
```

```
infty :: Integer
infty = infty + 1
```

$three\ infty$ \Rightarrow (def. infty) $three\ (infty + 1)$ \Rightarrow (def. infty) $three\ ((infty + 1) + 1)$ \Rightarrow (def. infty) $three\ (((infty + 1) + 1) + 1)$ \vdots	$three\ infty$ \Rightarrow (def. three) 3
--	---

- Haskell uses the second variant, called **lazy evaluation** (normal order, outermost reduction), as opposed to eager evaluation (applicative order, innermost reduction):

```
Main> three infity
3
```

- Why is good to be lazy:
 - **Doesn't hurt**: If an irreducible form can be obtained by both kinds of reduction, then the results are guaranteed to be the same.
 - **More robust**: If an irreducible form can be obtained, then lazy evaluation is guaranteed to obtain it.
 - **Even useful**: It is sometimes useful (and, given the lazy evaluation, possible) to work with **infinite objects**.

- [1 .. 100] produces the list of numbers between 1 and 100.

- What is produced by [1 ..]?

```
Prelude> [1 .. ] !! 10
11
Prelude> [1 .. ] !! 12345
12346
Prelude> zip ['a' .. 'g'] [1 .. ]
[( 'a',1),('b',2),('c',3),('d',4),('e',5),('f',6),('g',7)]
```

- A useful example: the good ol' prime numbers, this time as a **stream**:

```
primes :: [Integer]
primes = sieve [2 .. ]
  where sieve (x:xs) = x : [n | n <- sieve xs, mod n x /= 0]
      -- alternate:
      -- sieve (x:xs) = x : sieve (filter (\ n -> mod n x /= 0) xs)

Main> take 20 primes
[2,3,5,7,11,13,17,19,23,29,31,37,41,43,47,53,59,61,67,71]
```

STREAMS AND I/O

- One can implement functions that operate on **streams of objects** instead of objects.
 - An example of generating a stream (and filtering it) is the function `sieve`.
- A function that operates on streams of characters:

```
splitLines :: String -> [String]
splitLines str
  | line == "bye" = []
  | True        = line : splitLines rest
  where line = takeWhile (/= '\n') str
        rest = tail (dropWhile (/= '\n') str)

joinLines :: [String] -> String
joinLines = concat (map (++ "\n"))

answer :: String -> String
answer str
  | take 2 str == "hi" = ">Pleased to meet you"
  | True              = ">You typed " ++ show (length str) ++
                      " characters. Good work!"

count :: String -> String
count = joinLines.(map answer).splitLines
```

STREAMS AND I/O (CONT'D)

```
Main> count "hi there\nhow are you\nbye"
">Pleased to meet you\n>You typed 11 characters. Good work!\n"
```

STREAMS AND I/O (CONT'D)

```
Main> count "hi there\nhow are you\nbye"
">Pleased to meet you\n>You typed 11 characters. Good work!\n"

Main> putStr (count "hi there\nhow are you\nbye")
>Pleased to meet you
>You typed 11 characters. Good work!
```

STREAMS AND I/O (CONT'D)

```
Main> count "hi there\nhow are you\nbye"
">Pleased to meet you\n>You typed 11 characters. Good work!\n"

Main> putStr (count "hi there\nhow are you\nbye")
>Pleased to meet you
>You typed 11 characters. Good work!

Main> interact count
hi there
>Pleased to meet you
how are you
>You typed 11 characters. Good work!
bye
```

- Input (from keyboard) and output (to terminal) are themselves streams.

```
interact :: (String -> String) -> IO ()
```

MEMO FUNCTIONS

- Streams can also be used to improve efficiency (dramatically!)
- Take the Fibonacci numbers:

```
fib :: Integer -> Integer
fib 0 = 1
fib 1 = 1
fib n = fib (n - 1) + fib (n - 2)
```

– Complexity? $O(2^n)$

- Now take them again, using a **memo stream**:

```
fastfib :: Integer -> Integer
fastfib n = fibList !! n
  where fibList = 1 : 1 : zipWith (+) fibList (tail fibList)
        (x:xs) !! 0 = x
        (x:xs) !! n = xs !! (n - 1)
```

– Complexity? $O(n)$

STRUCTURAL INDUCTION

- The induction principle is not limited to functions defined over the integers. Rather, mathematical induction over the natural numbers is an instance of the more general notion of **structural induction** over values of an inductively (or recursively) defined type:

To prove property \mathcal{P} on some inductively defined (algebraic) type T , we prove that

Base case. \mathcal{P} holds for the base cases of the definition of T , and

Inductive case. \mathcal{P} holds for the inductive cases of the definition of T , assuming that \mathcal{P} holds for the components of type T of the inductive definitions.

- Example of inductively defined type:

```
data Nat = Zero | Succ Nat
```

Zero is the base case, and Succ Nat is the inductive case. Thus, to prove that $\mathcal{P}(n)$ holds for any $n :: \text{Nat}$, we show that **(a)** $\mathcal{P}(\text{Zero})$ holds (base), and **(b)** if $\mathcal{P}(n)$ holds, then so does $\mathcal{P}(\text{Succ } n)$ (inductive step).

EXAMPLES OF STRUCTURAL INDUCTION

- Recall that we defined arithmetic operators over `Nat`:

```
instance Num Nat where
  m + Zero = m           -- (1)
  m + (Succ n) = Succ (m + n) -- (2)
  m * Zero = Zero
  m * (Succ n) = (m * n) + m
```

- Let us prove that `Zero + n = n` for all `n :: Nat`.

Base: For `n=Zero` we have `Zero + Zero = Zero` by case (1).

Inductive step: We assume that `Zero + n = n` (inductive assumption) and we prove that `Zero + Succ n = Succ n`:

```
Zero + Succ n = Succ (Zero + n)
                (by (2))
              = Succ n
                (Zero + n = n by inductive assumption).
```

EXAMPLES OF STRUCTURAL INDUCTION (CONT'D)

- A tree is another inductively defined type:

```
data BTree a = Null | Node a (BTree a) (BTree a)
```

- To prove that a property $\mathcal{P}(t)$ holds for any `t :: BTree a`, we show that **(a)** $\mathcal{P}(\text{Null})$ holds (base), and **(b)** if, for any `x :: a`, both $\mathcal{P}(\text{lt})$ and $\mathcal{P}(\text{rt})$ hold, then so does $\mathcal{P}(\text{Node } x \text{ lt } \text{rt})$ (inductive step).
- Example: the **height** and **size** of a tree are computed by the following functions:

```
height :: BTree a -> Int           size :: BTree a -> Int
height Null = 0                    size Null = 0
height (Node x lt rt) = 1 +       size (Node x lt rt) = 1 +
  max (height lt) (height rt)     size lt + size rt
```

- We want to show that $\text{size } t \leq 2^{\text{height } t}$ for all `t :: BTree a`.

EXAMPLES OF STRUCTURAL INDUCTION (CONT'D)

- Direct proof of

$$\text{size } t \leq 2^{\text{height } t} \quad (1)$$

left as exercise.

- I will instead prove the stronger relation:

$$\text{size } t \leq 2^{\text{height } t - 1}. \quad (2)$$

- Relation (1) follows immediately from Relation (2).

EXAMPLES OF STRUCTURAL INDUCTION (CONT'D)

Base: $\text{size } \text{Null} = 0 = 1 - 1 = 2^0 - 1 = 2^{\text{height } \text{Null}} - 1$.

Inductive step:

$$\begin{aligned} \text{size } (\text{Node } x \text{ lt } \text{rt}) &= 1 + \text{size } \text{lt} + \text{size } \text{rt} \\ &\quad (\text{by def. size}) \\ &\leq 1 + 2^{\text{height } \text{lt} - 1} + 2^{\text{height } \text{rt} - 1} \\ &\quad (\text{by inductive assumption for both lt and rt}) \\ &\leq 2^{\text{height } \text{lt}} + 2^{\text{height } \text{rt} - 1} \\ &\quad (\text{arithmetic}) \\ &\leq 2^h + 2^h - 1 \\ &\quad (\text{with } h = \max(\text{height } \text{lt}) (\text{height } \text{rt})) \\ &= 2^{1+h} - 1 \\ &\quad (\text{arithmetic}) \\ &= 2^{\text{height } (\text{Node } x \text{ lt } \text{rt})} - 1 \\ &\quad (\text{by def. of } h \text{ and height, as desired}) \end{aligned}$$