

# Data Structures

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- **Stack** (FILO): push, pop, empty – constant time
- **Queue** (FIFO): insert, delete, empty – constant time
- **Heaps**: implementation of priority queue
  - Operations: insert ( $O(\log n)$ ), peek (highest priority,  $O(1)$ ), delete (highest priority,  $O(\log n)$ )
  - Tree representation, with children values smaller (maxheap) or larger (minheap) than the vertex value (weakly sorted)
  - Most efficiently implemented using arrays
  - Efficient sorting (**heapsort**)



# DATA STRUCTURES RECAP (CONT'D)

- **Trees**: simple connected graph, one vertex may be designated as root
  - For a graph  $T$  with  $n$  vertices the following statements are equivalent:
    - $T$  is a tree
    - $T$  is connected and acyclic
    - $T$  is connected and has  $n - 1$  edges
    - $T$  is acyclic and has  $n - 1$  edges
  - Concepts: parent, ancestor, child, descendant, sibling, leaf, internal node
- **Binary tree**: each node had at most two children (left and right)
  - In a binary tree of height  $h$  with  $n$  nodes we have  $h \geq \log_2 n$  (or  $n \leq 2^h$ )
  - Binary tree traversals ( $O(n)$  complexity):

**algorithm** PREORDER( $T$ ):

```

if ¬EMPTY( $T$ ) then
  VISIT( $T$ )
  PREORDER(LEFT( $T$ ))
  PREORDER(RIGHT( $T$ ))

```

**algorithm** INORDER( $T$ ):

```

if ¬EMPTY( $T$ ) then
  INORDER(LEFT( $T$ ))
  VISIT( $T$ )
  INORDER(RIGHT( $T$ ))

```

**algorithm** POSTORDER( $T$ ):

```

if ¬EMPTY( $T$ ) then
  POSTORDER(LEFT( $T$ ))
  POSTORDER(RIGHT( $T$ ))
  VISIT( $T$ )

```

- **Binary search tree**: the value in every vertex is larger than all the values in its left subtree and smaller than all the values in its right subtree
  - Operations: insert, delete, search ( $O(n)$  worst case,  $O(\log n)$  if the tree is balanced)
  - Inorder traversal yields sorted sequence



# DISJOINT SETS

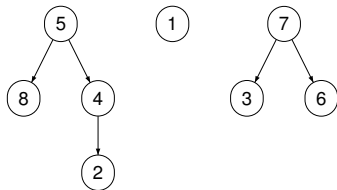
- Disjoint sets are non-empty, pairwise disjoint sets
  - Disjoint sets  $X_i$ ,  $1 \leq i \leq n$ :  
 $\forall 1 \leq i \leq n : X_i \neq \emptyset \quad \wedge \quad \forall 1 \leq i, j \leq n, i \neq j : X_i \cap X_j = \emptyset$
  - Each set has a member designated as the representative of that set
- Operations:
  - MAKESET( $i$ ): construct a set containing  $i$  as its sole element
  - FINDSET( $i$ ): return the representative of the set containing  $i$
  - UNION( $i, j$ ): replaces the two sets containing  $i$  and  $j$  with their union; one of the two set representatives becomes the representative of the new set
- Representation: each set can be represented as a tree with the representative in the root
  - The tree does not have to be binary or balanced
- Implementation: disjoint sets over a domain  $D$  represented as an array *parent* indexed over  $D$ 
  - *parent* <sub>$i$</sub>  hold the parent of  $i$  in the tree representation, or  $i$  if  $i$  is the root



# DISJOINT SETS (CONT'D)

- Example:  $\{2, 4, \underline{5}, 8\}$ ,  $\{\underline{1}\}$ ,  $\{3, 6, \underline{7}\}$

Tree representation:



Array implementation:

*parent* =

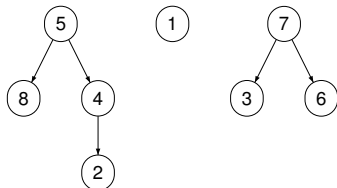
1	2	3	4	5	6	7	8
1	4	7	5	5	7	7	5



# DISJOINT SETS (CONT'D)

- Example:  $\{2, 4, 5, 8\}$ ,  $\{1\}$ ,  $\{3, 6, 7\}$

Tree representation:



Array implementation:

*parent* =

1	2	3	4	5	6	7	8
1	4	7	5	5	7	7	5

- A basic implementation:

**algorithm** MAKESET(*i*):

*parent*<sub>*i*</sub> ← *i*

**algorithm** FINDSET(*i*):

**while** *parent*<sub>*i*</sub> ≠ *i* **do** *i* ← *parent*<sub>*i*</sub>  
  **return** *i*

**algorithm** UNION(*i*, *j*):

*x* ← FINDSET(*i*)  
  *y* ← FINDSET(*j*)  
  **if** *x* ≠ *y* **then** MERGETREES(*x*, *y*)

**algorithm** MERGETREES(*i*, *j*):

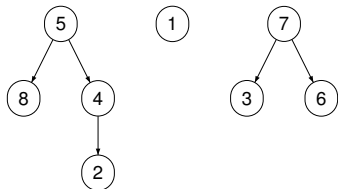
*parent*<sub>*i*</sub> ← *j*



# DISJOINT SETS (CONT'D)

- Example:  $\{2, 4, 5, 8\}$ ,  $\{1\}$ ,  $\{3, 6, 7\}$

Tree representation:



Array implementation:

*parent* =

1	2	3	4	5	6	7	8
1	4	7	5	5	7	7	5

- A basic implementation:

**algorithm** MAKESET(*i*):

└ *parent*<sub>*i*</sub> ← *i*

**algorithm** FINDSET(*i*):

└ **while** *parent*<sub>*i*</sub> ≠ *i* **do** *i* ← *parent*<sub>*i*</sub>  
 └ **return** *i*

**algorithm** UNION(*i*, *j*):

└ *x* ← FINDSET(*i*)  
 └ *y* ← FINDSET(*j*)  
 └ **if** *x* ≠ *y* **then** MERGETREES(*x*, *y*)

**algorithm** MERGETREES(*i*, *j*):

└ *parent*<sub>*i*</sub> ← *j*

- The tree representation can become very linear (depending on the sequence of calls to UNION), so the running times are as follows:

- MAKESET:  $O(1)$
- FINDSET:  $O(n)$
- UNION:  $O(n)$  (since it calls FINDSET)



# DISJOINT SETS (CONT'D)

- **Weighed union:** To maintain a smaller tree height for the union we decide what tree gets the root based on the heights of the operands
- Maintain a height for each set (tree)
- During union the tree with the smallest height is attached to the root of the set with the larger height
  - The height stays the same
- When the two operands have the same height attach one to another (no matter which, but consistently)
  - The height increases by one
  - Overall for every two sets joined we have a height increase of at most one so no height in the tree is going over  $\log n$
  - Better running times:
    - MAKESET:  $O(1)$
    - FINDSET:  $O(\log n)$
    - UNION:  $O(\log n)$  (since it calls FINDSET)

```

algorithm WUNION(i, j):
  [ x ← FINDSET(i)
    y ← FINDSET(j)
    if x ≠ y then WMERGETREES(x,y)
  ]
  
```

```

algorithm WMERGETREES(i, j):
  [ if heighti > heightj then parentj ← i
    else
      [ parenti ← j
        if heighti = heightj then
          [ heightj ← heightj + 1
        ]
      ]
  ]
  
```





# DISJOINT SETS (CONT'D)

- **Collapsing find:** Each time we call FINDSET we collapse all the nodes we traverse so that they become connected directly to the root

**algorithm** CFINDSET( $i$ ):

```

┌   if  $i \neq \text{parent}_i$  then  $\text{parent}_i \leftarrow \text{CFINDSET}(\text{parent}_i)$ 
└   return  $\text{parent}_i$ 

```

- When using weighted union alone  $n$  MAKESET and  $m$  WUNION/FINDSET takes  $O(n + m \log n)$  time
- When using weighted union and collapsing find  $n$  MAKESET and  $m$  WUNION/CFINDSET takes  $O(n + m + \alpha(n, m))$  time where  $\alpha(n, m)$  is a constant for all practical purposes

	MAKESET( $i$ )	FIND( $i$ )	UNION( $i, j$ )	$n$ MAKESET + $m$ UNION/FIND
Basic impl.	$O(1)$	$O(n)$	$O(n)$	$O(n + nm)$
Weighted union	$O(1)$	$O(\log n)$	$O(\log n)$	$O(n + m \log n)$
Weighted union + collapsing find	$O(1)$	$O(\log n)$	$O(\log n)$	$\approx O(n + m)$



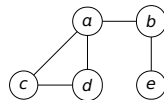
- **Directed graph** (digraph):  $G = (V, E)$  where  $V$  is a set of vertices and  $E \subseteq V \times V$  is the set of edges
  - In a graphical representation edges are shown as arrows between vertices
- **Undirected graph**: A graph  $G = (V, E)$  with the additional property that  $(u, v) \in E$  iff  $(v, u) \in E$ 
  - In a graphical representation edges are shown as lines between vertices
- **Weighted graph**:  $G = (V, E, w)$  where  $(V, E)$  is a graph and  $w : E \rightarrow \mathbb{R}$  associates a weight to each edge
  - In a graphical representation weights are shown as edge labels
- Concepts related to graphs:
  - adjacent vertices, degree, in degree, out degree
  - complement of  $G = (V, E)$ :  $G' = (V, V \times V \setminus E)$
  - path, simple path, cycle, simple cycle
  - acyclic graph
  - length of the shortest path from  $u$  to  $v$ :  $\text{DIST}(u, v)$
  - diameter of  $G = (V, E)$ :  $\text{DIAM}(G) = \max\{\text{DIST}(u, v) : u, w \in V\}$
  - subgraph: a subset of edges along with all their vertices
  - induced subgraph: contains all the edges between its vertices
  - Hamiltonian cycle: cycle that contains each vertex exactly once
  - Euler cycle: cycle that contains each edge exactly once



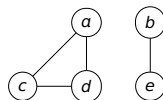
# MORE TYPES OF GRAPHS

- **(Strongly) connected graph**: graph that has a path between each pair of vertices
  - For a connected graph  $G = (V, E)$  what is the minimum and the maximum  $|E|$  (in terms of  $|V|$ )?
- **Weakly connected graph**: directed graph that is not connected but becomes connected if we transform it into an undirected graph
  - No concept of weak connectivity for undirected graphs (they are either connected or not)
- **Clique** or complete graph:  $G = (V, V \times V)$
- **Sparse** vs **dense** graphs
- **Bipartite graph**:  $G = (V_1 \uplus V_2, E)$  such that  $E \subseteq V_1 \times V_2 \cup V_2 \times V_1$ 
  - **Complete bipartite graph**:  
 $G = (V_1 \uplus V_2, V_1 \times V_2 \cup V_2 \times V_1)$

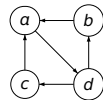
Connected:



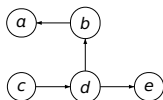
Unconnected:



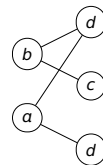
Strongly connected:



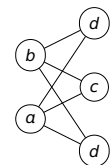
Weakly connected:



Bipartite:



Complete bipartite:





# GRAPH REPRESENTATION

## Adjacency matrix

- For  $G = (V, E)$  establish an (arbitrary) order over  $V$ , such that we can consider  $V = \{0, 1, \dots, n\}$
- Then  $G$  can be represented as the binary matrix  $(G_{ij})_{0 \leq i, j \leq n}$  such that  $G_{ij} = 1$  iff  $(i, j) \in E$
- For a weighted  $G = (V, E, w)$  set  $G_{ij} = w(i, j)$  if  $(i, j) \in E$  and  $G_{ij} = \infty$  otherwise

Undirected:

	a	b	c	d	e
a	0	1	1	1	0
b	1	0	0	0	1
c	1	0	0	1	0
d	1	0	1	0	0
e	0	1	0	0	0

Directed:

	a	b	c	d	e
a	0	0	0	1	0
b	1	0	0	0	0
c	1	0	0	1	0
d	0	0	0	0	0
e	0	1	0	0	0

Weighted:

	a	b	c	d	e
a	$\infty$	5	2	1	$\infty$
b	5	$\infty$	$\infty$	$\infty$	8
c	2	$\infty$	$\infty$	2	$\infty$
d	1	$\infty$	2	$\infty$	$\infty$
e	$\infty$	8	$\infty$	$\infty$	$\infty$

- Adjacency list:** For each vertex  $v$  use a list with exactly all the vertices  $u$  such that  $(v, u) \in E$ 
  - Include the weights if it is a weighted graph

a	→ b → c → d	a	→ d	a	→ b, 5 → c, 2 → d, 1
b	→ a → e	b	→ a	b	→ a, 5 → e, 8
c	→ a → d	c	→ a → d	c	→ a, 2 → d, 2
d	→ a → c	d	→ a → c	d	→ a, 1 → c, 2
e	→ b	e	→ b	e	→ b, 8

- Time/space efficiency?



**algorithm** TRAVERSE( $G = (V, E)$ ):

**foreach**  $v \in V$  **do**

$visit_v \leftarrow \text{false}$

  Let  $v \in V$  such that  $visit_v = \text{false}$

**if**  $v$  exists **then**

    LISTTRAVERSE( $v$ )

**algorithm** LISTTRAVERSE( $v \in V$ ):

$open \leftarrow \langle v \rangle$

$visit_v \leftarrow \text{true}$

**while**  $open \neq \langle \rangle$  **do**

$u \leftarrow \text{HEAD}(open)$

    Output  $u$

$new \leftarrow \langle x : (u, x) \in E \wedge \neg visit_x \rangle$

**foreach**  $x \in new$  **do**  $visit_x \leftarrow \text{true}$

$open \leftarrow \text{REST}(open) \oplus new$



**algorithm** TRAVERSE( $G = (V, E)$ ):

**foreach**  $v \in V$  **do**

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**while**  $open \neq \langle \rangle$  **do**

$u \leftarrow \text{HEAD}(open)$

    Output  $u$

$new \leftarrow \langle x : (u, x) \in E \wedge \neg visit_x \rangle$

**foreach**  $x \in new$  **do**  $visit_x \leftarrow \text{true}$

$open \leftarrow \text{REST}(open) \oplus new$

Two different variants of  $\oplus$  yield two different traversals:

- **Breadth-first traversal:**  $L' \oplus L'' = L' + L''$

- New vertices are added at the end and so  $open$  implements a **queue**

- **Depth-first traversal:**  $L' \oplus L'' = L'' + L'$

- New vertices are added at the beginning and so  $open$  implements a **stack**

- Depth-first traversal can also be implemented recursively:

**algorithm** DFS( $G = (V, E)$ ):

**foreach**  $v \in V$  **do**  $visit_v \leftarrow \text{false}$

Let  $v \in V$  such that  $visit_v = \text{false}$

**if**  $v$  exists **then** RECDFS( $v$ )

**algorithm** RECDFS( $v \in V$ ):

Output  $v$

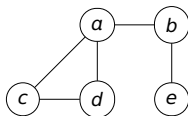
$visit_v \leftarrow \text{true}$

**foreach**  $(v, u) \in E \wedge \neg visit_u$  **do**

    RECDFS( $u$ )

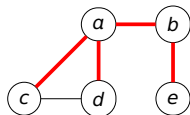


- Any traversal of a graph  $G$  avoids all edges that would result in cycles
- Therefore it only expands (and thus defines) an acyclic subgraph of  $G$

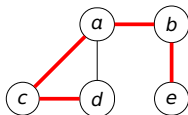


- Same traversal output starting from  $a$ :  $a, c, d, b, e$
- Different traversal trees:

BFS tree:



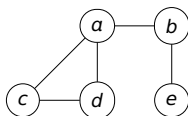
DFS tree:



- Both algorithms run in time  $O(n + m)$

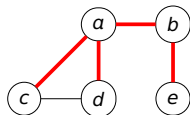


- Any traversal of a graph  $G$  avoids all edges that would result in cycles
- Therefore it only expands (and thus defines) an acyclic subgraph of  $G$   
= the **traversal (DFS or BFS) tree**

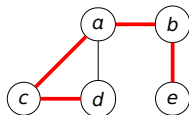


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BFS tree:



DFS tree:

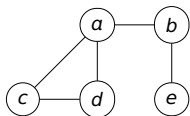


- Both algorithms run in time  $O(n + m)$



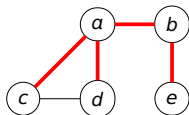


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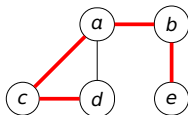


- Same traversal output starting from  $a$ :  $a, c, d, b, e$
- Different traversal trees:

BFS tree:



DFS tree:



- Both algorithms run in time  $O(n + m)$
- Space** requirements however are vastly different



# TOPOLOGICAL SORTING ON DIRECTED GRAPHS

- Given a graph  $G = (V, E)$ , obtain a linear ordering of  $V$  such that for every edge  $(u, v) \in E$ ,  $u$  comes before  $v$  in the ordering

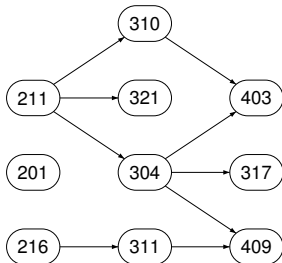
**algorithm** TSORT( $G = (V, E)$ ):

```

order ← {}
S ← V
while S ≠ ∅ do
  Let v ∈ S with in-degree 0
  order ← order + {v}
  E ← E \ {(v, u) ∈ E}
  V ← V \ v

```

- Many practical applications, e.g. sorting over a course prerequisite structure



**algorithm** TSORT'( $G = (V, E)$ ):

```

order ← {}
k ← n
foreach v ∈ V do visit_v ← false
while ∃ v ∈ V : visit_v = false do
  RECTOPO(v)

```

**algorithm** RECTOPO( $v \in V$ ):

```

visit_v ← true
foreach (v, u) ∈ E ∧ ¬visit_u do
  RECTOPO(u)
order_k ← v
k ← k - 1

```

Possible order:

$\langle 211, 310, 321, 201, 304, 403, 317, 216, 311, 409 \rangle$