Greedy Algorithms

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CS 317, Fall 2025

THE GREEDY TECHNIQUE



- Typically suitable to for optimization problems
- Builds the solution iteratively
- Makes a locally optimum choice in each iteration in the hope that all local optima will lead to a global optimum
- Guaranteed to give a "good" solution, but does not guarantee an optimal solution for all optimization problems

```
algorithm GREEDY(A: set of candidates):

solution \leftarrow \emptyset

while solution not complete do

x \leftarrow SELECTBEST(A) (local optimum)

A \leftarrow A \setminus x

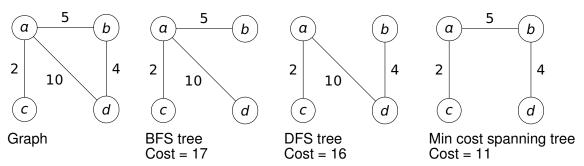
if FEASIBLE(solution \cup x) then

solution \leftarrow solution \cup x
```

MINIMUM-COST SPANNING TREES



 A spanning tree of a graph G is a connected acyclic subgraph of G that contains all the vertices



- Problem: Given a weighted undirected connected graph G
- Question: Find a spanning tree of G with minimum cost
 - Many applications including transportation networks, computer networks, electrical grids, even financial markets

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KRUSKAL'S ALGORITHM



- For a given weighted graph G = (V, E, w):
 - Choose an edge e of minimum weight w(e)
 - If the edge does not create a cycle add it to the tree

algorithm KRUSKAL(G = (V, E, w)):

```
T \leftarrow \emptyset
c \leftarrow 0
L \leftarrow E
while |T| \le n - 1 do
Select \ e \in L, \ w(e) = \min\{w(x) : x \in R\}
L \leftarrow L \setminus \{e\}
if T \cup e does not contain cycles then
T \leftarrow T \cup \{e\}
c \leftarrow c + w(e)
```

- Still to implement:
 - Find an edge with a minimum weight
 - Detect cycles
- Data structures needed:
 - List of edges sorted by weight
 - Disjoint sets representing each connected component

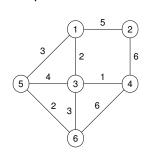
KRUSKAL'S ALGORITHM EXAMPLE

(5)



(2)

Graph:



Start: six singletons

(3)

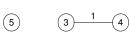
(6)



(4)

#1: choose (3,4)





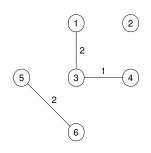


(5,6))

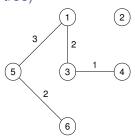
#2: choose (1,3) (or



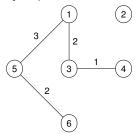
#3: choose (5,6) (or (1,3)



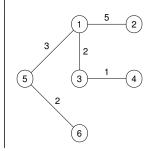
#4: choose (1,5) (or (3,6), for a different tree)



#5: choose and ignore (3,6) (creates cycle)



#6: choose (1,2) and done



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KRUSKAL'S ALGORITHM (CONT'D)



algorithm Kruskal(G = (V, E, w)):

$$T \leftarrow \emptyset$$

$$c \leftarrow 0$$

$$L \leftarrow \text{MAKEQUEUE}(E)$$

$$\text{for } i = 1 \text{ to } n \text{ do } \text{MAKESET}(i)$$

$$i \leftarrow 1$$

$$\text{while } i \leq n - 1 \text{ do}$$

$$(u, v) \leftarrow \text{DEQUEUE}(L)$$

$$s_1 \leftarrow \text{FINDSET}(u)$$

$$s_2 \leftarrow \text{FINDSET}(v)$$

$$\text{if } s_1 \neq s_2 \text{ then}$$

$$UNION(s_1, s_2)$$

$$T \leftarrow T \cup \{(u, v)\}$$

$$c \leftarrow c + w((u, v))$$

$$i \leftarrow i + 1$$

- Choice of implementation for the priority queue:
 - Sorted list: $O(n \log n)$ to create, O(1) to extract minimum
 - Min heap: O(n) to create, $O(\log n)$ to extract minimum
- Running time (|V| = n, |E| = m):
 - With sorted list: $T(n) = m \log m + n + m(1 + 2 \log n) = 0$ $O(m \log n)$
 - With heap: $T(n) = m + n + m(\log m + 2\log n) =$ $O(m \log n)$

- Correctness:
 - Loop invariant: The graph induced by each disjoint set S in (S, T) is a minimum-cost spanning tree for (S, E)
 - Kruskal's algorithm maintain a forest of minimum-cost spanning trees, collapsing it progressively into a single overall minimum-cost spanning tree

PRIM'S ALGORITHM



- Maintains a single, partial minimum-cost spanning tree
 - Start with a single vertex and no edges
 - Expand the tree by greedily choosing the minimum weight edge with an end in the tree and the other end outside the tree

```
algorithm \mathsf{PRIM}(G = (V, E, w), v_0 \in V):

\begin{array}{c} T \leftarrow \emptyset \\ c \leftarrow 0 \\ S \leftarrow \{v_0\} \\ \text{while } S \neq V \text{ do} \\ \\ & \mathsf{Select} \ v \in V \setminus S \ \mathsf{nearest to} \ S \\ & \mathsf{Let} \ u \in S \ \mathsf{be} \ \mathsf{the \ nearest \ vertex \ to} \ v \\ & S \leftarrow S \cup \{v\} \\ & T \leftarrow T \cup \{(v, u)\} \\ & c \leftarrow c + w((u, v)) \end{array}
```

- To keep track of candidate edges for each vertex outside the tree we keep track of:
 - Its minimum distance from the tree
 - The edge that realizes that minimum distance

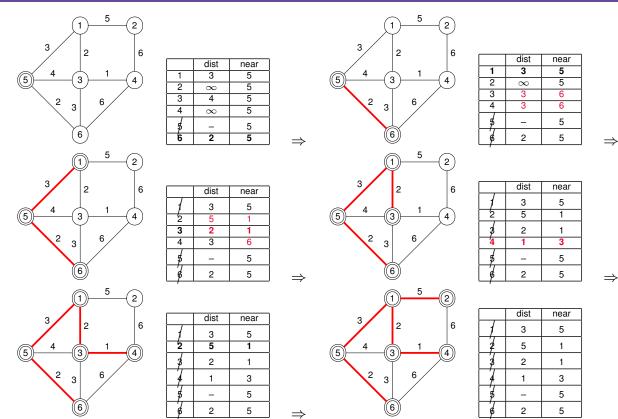
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PRIM'S ALGORITHM EXAMPLE





PRIM'S ALGORITHM (CONT'D)



```
algorithm PRIM(G = (V, E, w), v_0 \in V):
      T \leftarrow \emptyset
      c \leftarrow 0
      for i = 0 to n do
            dist_i \leftarrow w(i, v_0)
           nearest_i \leftarrow v_0
      HEAPIFY(dist) (optional)
      for i = 1 to n - 1 do
            v \leftarrow \mathsf{DEQUEUE}(\mathit{dist})
            T \leftarrow T \cup \{(v, nearest_v)\}\ c \leftarrow c + w((v, nearest_v))
            foreach neighbor x of v outside tree
                  if w(v, x) < dist_x then
                        dist_X \leftarrow w(v, x)
                         nearest_x \leftarrow v
                         UPDATE(dist_X)
                                                 (optional)
```

- Can organize dist as:
 - Heap: O(n) to heapify and $O(\log n)$ to update but O(1) to get the minimum
 - Plain array: no need to heapify or update, but O(n) to get the minimum
- Running time (|V| = n, |E| = m):
 - The foreach loop runs O(m) times overall (amortized)
 - Heap: $T(n) = n + n + n \log n + m \log n = n$ $O(m \log n)$
 - Array: $T(n) = n + n \times n + m = O(n^2)$

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KRUSKAL AND PRIM (CONT'D)



- Correctness of Prim:
 - Loop invariant: The partial tree is a minimum-cost spanning tree for the vertices it contains
- Comparison between Prim and Kruskal:

		Running time	Sparse graphs	Dense graphs
			$(m = o(n^2/\log n))$	$(m=O(n^2))$
Kruskal		$O(m \log n)$	$O(n \log n)$	$O(n^2 \log n)$
Prim	Array	$O(n^2)$	$O(n^2)$	$O(n^2)$
	Heap	$O(m \log n)$	$O(n \log n)$	$O(n^2 \log n)$

- No difference between Kruskal and Prim using a heap on sparse graphs
- Notable advantage for Prim using an array on dense graphs

There is only one minimum spanning tree!



Lemma

If all the edge weights in a connected graph G are distinct then G has a unique minimum-cost spanning tree

- Proof by contrapositive:
 - Let T and T' be two minimum-cost spanning trees of G
 - Let e and e' be the minimum weight edge in $T \setminus T'$ and $T' \setminus T$ respectively, w(e) < w(e')
 - $T' \cup \{e\}$ must contain cycle C that goes through e, let $e'' \in C \setminus T$
 - It must be that $w(e'') \ge w(e') \ge w(e)$ (since $e'' \in T' \setminus T$) Let $T'' = T' \cup \{e\} \setminus \{e''\}$ (greedy replace)
 - - T'' is a spanning tree (we replaced one edge in a cycle with another in the same cvcle)
 - w(T'') = w(T') + w(e) w(e'') so $w(T'') \le w(T')$ (since $w(e) \le w(e'')$)
 - But T' is a minimum-cost spanning tree, so it must be that w(T'') = w(T') and so w(e) = w(e'')
- This kind of reasoning also works for not necessarily distinct edge weights as long as we use a consistent way of breaking ties

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THERE IS ONLY ONE ALGORITHM!



- Edge classification:
 - Useless: $(u, v) \notin F$ with u and v in the same connected component of F
 - Safe: minimum-weigth (u, v) with only u or v in a connected component of F
- Generic strategy for the minimum-cost spanning tree: Maintain an acyclic subgraph F of G such that F is a subgraph of the minimum-cost spanning tree of G by always choosing safe edges (and never useless edges)

Lemma

The minimum-cost spanning tree of G contains every safe edge

- Greedy-replace proof technique:
 - Show that the minimum-cost spanning tree of any $S \subseteq G$ contains the safe edge e for S
 - Let T be a minimum-cost spanning tree of G not containing e
 - It must have an edge e', w(e') > w(e) that connects S with the rest of G
 - Then $T' = T \setminus \{e'\} \cup \{e\}$ is a spanning tree with $w(T') \leq w(T)$, a contradiction

_emma

The minimum-cost spanning tree contains no useless edge

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SINGLE-SOURCE SHORTEST PATH



- We are given a directed, weighted graph G = (V, E, w)
 - Notation: A path $p = \langle v_0, v_1, \dots, v_k \rangle$ connects v_0 and v_1 and we write $v_0 \stackrel{p}{\leadsto} v_k$
 - The shortest-path weight from some vertex u to some vertex v is:

$$\delta(u,v) = \begin{cases} \min\{w(p) : u \stackrel{p}{\leadsto} v\} & \text{if there exists a path from } u \text{ to } v \\ \infty & \text{otherwise} \end{cases}$$

- A shortest path from u to v is a path p such that $u \stackrel{p}{\leadsto} v$ and $w(p) = \delta(u, v)$
- When we are interested in finding shortest paths in a graph we solve a shortest-path problem
 - Single source, single destination (e.g., finding the shortest way to travel from point A to point B)
 - Single source, all destinations (e.g., broadcasting a message from one node in a network to all the other nodes)
 - All pairs shortest path (e.g., finding the fastest way to send information from any node in a network to any other node)

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THE SINGLE-SOURCE SHORTEST-PATH PROBLEM



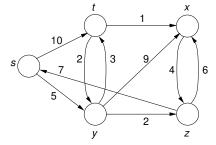
Lemma

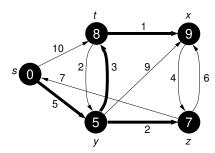
One shortest path contains other shortest paths within it. Formally, if $p = \langle v_0, v_1, \dots, v_i, \dots, v_j, \dots, v_k \rangle$ is a shortest part from v_0 to v_k then the sub-path $\langle v_i, \dots, v_i \rangle$ of p is a shortest path between v_i and v_i

 The lemma implies that the single source, single destination variant does not make sense since solving it effectively solves the single source, all destinations variant:

Input: a weighted graph *G* and a source node *s*:

Output: the shortest paths between *s* and any other vertex in *G*:





• The lemma also ensures that a greedy approach will work

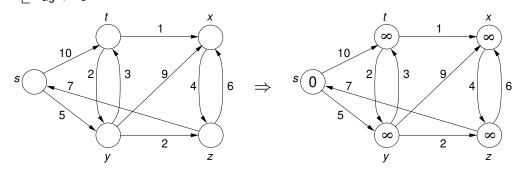


For each vertex v in the input graph, we keep two values:

- d_v is a shortest-path estimate, initially ∞ for all the vertices but s
- π_{v} is the predecessor of v in the shortest path, initially N_{IL}
 - our shortest path algorithm will set π_{ν} for all the vertices in the graph
 - then, the predecessor link from some vertex v to s runs backwards along a shortest path from s to v

algorithm InitializeSingleSource($G = (V, E, w), s \in V; d, \pi$):

foreach $v \in V$ do $\begin{array}{c} d_{v} \leftarrow \infty \\ \pi_{v} \leftarrow \text{NiL} \\ d_{s} \leftarrow 0 \end{array}$



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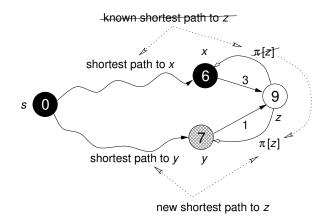
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RELAX!



- All algorithms that solve the shortest-path problem are built around the relaxation technique
- Simple idea: if we find something better, we go for it



algorithm Relax(
$$y, z, w \in V; d, \pi$$
):

if $d_z > d_y + w(y, z)$ then
$$d_z \leftarrow d_y + w(y, z)$$
DecreaseKey(Q, z, d_z)
$$\pi_z \leftarrow y$$

DIJKSTRA'S ALGORITHM



• Dijkstra's algorithm solves the single-source shortest-path problem on a weighted, directed graph G = (V, E, w) with positive edge weights

- The algorithm maintains a set S of vertices whose final shortest path from the source s has been already determined
- The algorithm (greedily) keeps selecting the most promising edge $u \in V \setminus S$, adds it to S, and relaxes all the edges leaving u
 - The "most promising" edge is the one with minimum d_{ij}
 - Priority queue Q for quick access to this most promising edge

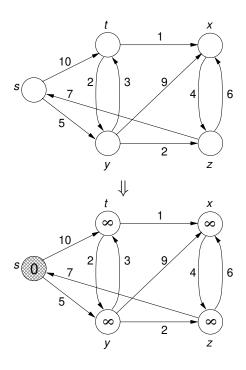
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DIJKSTRA'S ALGORITHM (CONT'D)





```
algorithm DIJKSTRA(G = (V, E, w), s \in V; \pi):

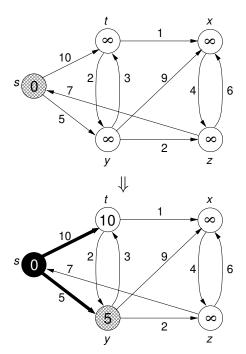
| INITIALIZESINGLESOURCE(G, s)
S \leftarrow \emptyset
Q \leftarrow \text{MAKEQUEUE}(V, d)
while \neg \text{ISEMPTY}(Q) do

| u \leftarrow \text{DEQUEUE}(Q)
S \leftarrow S \cup \{u\}
foreach v adjacent to u, v \not\in S do

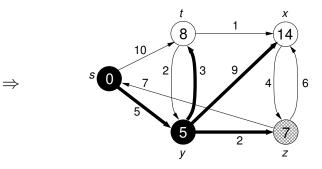
| RELAX(u, v, w)
```

DIJKSTRA'S ALGORITHM (CONT'D)





```
\begin{array}{c|c} \textbf{algorithm} \ \mathsf{DIJKSTRA}(G = (V, E, w), s \in V; \pi) \text{:} \\ & \mathsf{INITIALIZESINGLESOURCE}(G, s) \\ & S \leftarrow \emptyset \\ & Q \leftarrow \mathsf{MAKEQUEUE}(V, d) \\ & \textbf{while} \ \neg \mathsf{ISEMPTY}(Q) \ \textbf{do} \\ & u \leftarrow \mathsf{DEQUEUE}(Q) \\ & S \leftarrow S \cup \{u\} \\ & \textbf{foreach} \ v \ \mathsf{adjacent} \ \mathsf{to} \ u, v \not \in S \ \textbf{do} \\ & \ \bot \ \mathsf{RELAX}(u, v, w) \end{array}
```



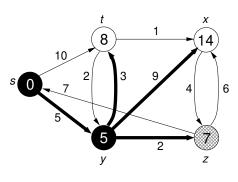
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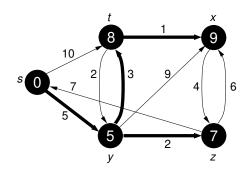
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DIJKSTRA'S ALGORITHM (CONT'D)



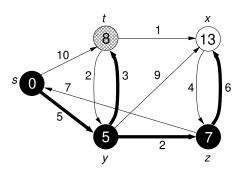




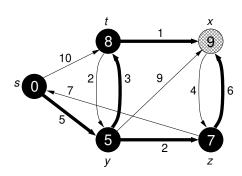
 \Downarrow

while $\neg ISEMPTY(Q)$ do...





 \Rightarrow



DIJKSTRA'S ALGORITHM ANALYSIS



 Dijkstra's algorithm relies heavily of operations on the queue Q, namely ENQUEUE, DEQUEUE, and DECREASEKEY, of running time, say, $t_{+}(n)$, $t_{-}(n)$, $t_{x}(n)$, respectively (with n = |V|, m = |E|)

```
algorithm DIJKSTRA(G = (V, E, w), s \in V; \pi):
     INITIALIZE SINGLE SOURCE (G, s)
     S \leftarrow \emptyset
     Q \leftarrow \mathsf{MAKEQUEUE}(V, d)
     while \neg ISEMPTY(Q) do
           u \leftarrow \mathsf{DEQUE\dot{U}E}(Q)
           S \leftarrow S \cup \{u\}
           foreach v adjacent to u, v \notin S do
            | RELAX(u, v, w)|
```

- Total running time: $O(n \times t_+(n) + n \times t_-(n) + m \times t_x(n))$
- Correctness, or we always pick the right vertex: Let u_i and u_{i+1} be the vertices returned by two successive calls to DEQUEUE; then $d_{u_i} \leq d_{u_{i+1}}$ just after the extraction
 - Either $(u_i, u_{i+1}) \in E$ and u_{i+1} is relaxed, so $d_{u_{i+1}} = d_{u_i} + w((u_i, u_{i+1})) \ge d_{u_i}$
 - Or u_{i+1} is not relaxed so it is already in the queue so $d_{u_{i+1}} \geq d_{u_i}$
 - Trivial generalization for u_i and u_{i+k}
 - No vertex is dequeued more than once
 - Proof only works for positive edge weights

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ANALYSIS (CONT'D)



 The performance of Dijkstra's algorithm depends heavily of how the priority queue is implemented (again!)

	<i>t</i> ₊ (<i>n</i>)	t_(n)	$t_{\scriptscriptstyle X}(n)$
Array queue	<i>O</i> (1)	<i>O</i> (<i>n</i>)	<i>O</i> (1)
Heap queue	$O(\log n)$	$O(\log n)$	$O(\log n)$

	Running time	Sparse graphs $(m = o(n^2/\log n))$	Dense graphs $(m = O(n^2))$
Array Q	$O(n^2+m)$	$O(n^2)$	$O(n^2)$
Heap Q	$O((n+m)\log n)$	$O(m \log n)$	$O(n^2 \log n)$

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DATA COMPRESSION



- Represent data using the minimum amount of bits
- Lossy
 - Compressed data cannot be restored in its original form
 - Significant compression ratio
 - Mostly used for multimedia encoding
 - Examples: JPEG (Joint Photographic Experts Group) and MPEG (Moving) Picture Experts Group)
- Lossless
 - Compressed data can be perfectly reconstructed
 - Lower compression ratio
 - Examples: Zip, Gif, Huffman encoding
- The Huffman code is an optimal variable-length prefix code
 - Minimizes the average number of bits/character based on the character frequencies of occurrence
 - Code system with the prefix property (prefix code): no code is a prefix of any other code
 - Necessary for decoding variable-length codes
 - Example: A, B, C, D can be encoded respectively as 0, 10, 110, 111, but not as 1, 10, 110, 111 (since the code for A would be a prefix for B, C and D)
 - Note in passing that fixed length codes (e.g. 00, 01, 10, 11) are all prefix codes

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THE HUFFMAN CODE



- Example: Five characters with their frequency: A (5%), B (25%), C (20%), D (15%), E (35%)
 - Traditional (fixed-length encoding): A=000, B=001, C=010, D=011, E=100 (3 bits/character)
- Prefix code tree:
 - Choose and remove the letter with highest frequency, assign as left child
 - Repeat for the right child
 - Label left branches with 0 and right branches with 1
 - Code for a character is the path from root to letter.

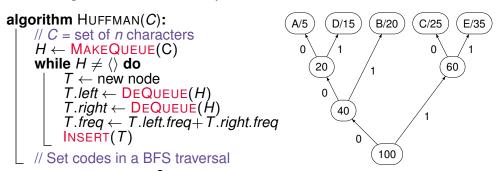
algorithm HUFFMANLITE(C):	Letter	Freq	Code	Weighted # bits
// C = set of n characters	Α	0.05	1111	$4 \times 0.05 = 0.2$
$H \leftarrow MAKEQUEUE(C)$ 0 1	В	0.25	10	$2 \times 0.25 = 0.5$
$T \leftarrow \text{new node} \qquad (E) \qquad 0 \qquad 1$	С	0.20	110	$3 \times 0.20 = 0.6$
for $i = 1$ to $n - 1$ do	D	0.15	1110	$4 \times 0.15 = 0.6$
$T.left \leftarrow DEQUEUE(H)$	Е	0.35	0	$1 \times 0.35 = 0.35$
$T.right \leftarrow \text{ new node} \qquad \qquad C \qquad 0 \qquad 1$ $T \leftarrow T.right$	Ave	erage hit	s per lette	
(D)	0.2	+0 5+0 6	6+0.6+0.3	35_2 25
$T.right \leftarrow DeQueue(H)$				
// Set codes in a BFS traversal	Imp	proveme	nt of 25 %	

- Correctness: letters at different depths = different all-1 prefixes before 0
- Running time: $\Theta(n \log n)$ (both array and heap)

THE HUFFMAN CODE (CONT'D)



 We can do better by assigning frequencies to internal nodes and choosing the best two frequencies to be the children of a new node:



• Running time: $\Theta(n^2)$ (sorted list) or $\Theta(n \log n)$ (heap)

Letter	Freq	Code	Weighted # bits
Α	0.05	000	$3 \times 0.05 = 0.15$
В	0.25	10	$2 \times 0.25 = 0.5$
С	0.20	01	$2 \times 0.20 = 0.4$
D	0.15	001	$3 \times 0.15 = 0.45$
E	0.35	11	$2\times0.35=0.7$

- Average bits per letter: 2.2, 27% improvement
- Correctness: different paths ensure at least one different bit

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OPTIMAL TEXT COMPRESSION



- Huffman's algorithm produces an optimal tree
 - Show that the two least frequent characters have to be siblings in an optimal tree using a greedy-replace technique
 - Proceed upward by induction
 - See textbook
- Text compression algorithm:
 - Calculate the frequency of all letters in the text
 - Construct the Huffman tree
 - Encode all the text using the codes obtained from the Huffman tree
- Text recovery algorithm:
 - Traverse the Huffman tree from root to a leaf according to the input bits
 - Output the leaf label
 - Repeat traversal for as long as there are bits in the input
 - Note: this is why we need a code system with the prefix property!

THE KNAPSACK PROBLEM



- Given $w = \langle w_1, w_2, \dots, w_n \rangle$ and $p = \langle p_1, p_2, \dots, p_n \rangle$, find $x = \langle x_1, x_2, \dots, x_n \rangle$ such that $\sum_{i=1}^n x_i p_i$ is maximized subject to $\sum_{i=1}^n x_i w_i \leq C$
 - Given n objects, each with a corresponding weight w_i and profit p_i and a knapsack of specific capacity C, choose the objects (or fractions) that you can fit in the knapsack so that the total profit is maximized
- Two versions:
 - Fractional knapsack: $0 \le x_i \le 1$
 - 0/1 knapsack: $x_i \in \{0, 1\}$

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FRACTIONAL KNAPSACK



- Greedy strategies:
 - Take objects one at a time in increasing order of their weights, until the knapsack is full (a fraction may need to be taken for the last object)
 - Take the objects in decreasing order of their profits
 - Take the objects in decreasing order of their profits per unit weight ratio
- Example: $w=\langle 5, 10, 20 \rangle$ C=30 $p=\langle 50, 60, 140 \rangle$ $p/w=\langle 10, 6, 7 \rangle$
 - $x = \langle 1, 1, 15/20 \rangle, P = 50 + 60 + 140 \times 15/20 = 215$
 - $x = \langle 0, 1, 1 \rangle, P = 60 + 140 = 200$
 - $x = \langle 1, 5/10, 1 \rangle, P = 50 + 60 \times 5/10 + 140 = 220$
- In fact it can be shown that the third strategy will always guarantee an optimal solution
 - Suppose that we have an optimal solution that uses some amount of the lower value density object
 - Then we substitute that with the same weight of the higher value density object and we obtain a better solution, a contradiction



• By w:
$$x = \langle 1, 1, 0 \rangle, P = 110$$

• By
$$p: x = (0, 1, 1), P = 200$$

• By
$$p/w$$
: $x = \langle 1, 0, 1 \rangle$, $P = 190$

• By
$$w: x = (0, 0, 1), P = 15$$

• By
$$p: x = \langle 1, 0, 0 \rangle, P = 25$$

• By
$$p/w$$
: $x = (0, 1, 0), P = 24$

• By w:
$$x = \langle 1, 1, 0 \rangle$$
, $P = 130$

• By
$$p$$
: $x = (0, 0, 1)$, $P = 120$

• By
$$p/w$$
: $x = \langle 1, 0, 0 \rangle$, $P = 50$

 No greey strategy guarantees an optimal solution for the 0/1 knapsack problem

Greedy Algorithms (S. D. Bruda)

CS 317, Fall 2025

The greedy-choice property



The greedy technique works only for those problems that have the greedy-choice property: We can assemble a globally optimal solution by making locally optimal (greedy) choices

- Goes hand in hand with the greedy-replace proof technique
- Many problems have the greedy-choice property, many more do not (such as the 0/1 knapsack)
- For some problems without the greedy-choice property may obtain a "good enough" solution for some reasonable definition of "good enough"
 - Good example: 0/1 knapsack
 - To be continued