

CS 515: Stable Failures Semantics

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- While safety properties (“something bad will never happen”) can be expressed with traces, traces cannot express **liveness properties**
 - A liveness property guarantees a certain behaviour (“something good will happen”)
 - Specifies what a process is prepared to do
- A **stable process** is a process P that can make no internal progress: $P \downarrow = \neg(P \xrightarrow{\tau})$ (we also say that P **converges**)
 - Contrast in passing with a **divergent process**:

$$P \uparrow = \exists (P_i)_{i \in \mathbb{N}} : P = P_0 \wedge \forall i \in \mathbb{N} : P_i \xrightarrow{\tau} P_{i+1}$$
- A process **refuses** a set of actions X whenever no action from X is available from P : $P \text{ ref } X$ iff $\exists P' : P \xRightarrow{\diamond} P' \wedge P' \downarrow \wedge \forall a \in X : \neg(P' \xrightarrow{a})$
- The fact that a process does not refuse an event is recorded in a trace information (as before)
- In all a **stable failure** of process P is an observation (tr, X) such that $P \xRightarrow{tr} P' \wedge P' \downarrow \wedge P' \text{ ref } X$



- The semantic model of stable failures is less abstract than traces
 - The approach to specification and verification is however the same
- Six **consistency conditions** for some T and SF sets of traces and stable failures corresponding to the same process, respectively:

1 $\langle \rangle \in T$

2 $tr_1 \leq tr_2 \wedge tr_2 \in T \implies tr_1 \in T$

3 $(tr, X) \in SF \implies tr \in T$

4 $(tr, X) \in SF \wedge X' \subseteq X \implies (tr, X') \in SF$

5 $(tr, X) \in SF \wedge \forall a \in X' : tr \frown \langle a \rangle \notin T \implies (tr, X \cup X') \in SF$

6 $\forall X \subseteq \Sigma : tr \frown \langle \surd \rangle \in T \implies (tr \frown \langle \surd \rangle, X) \in SF$



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- Six **consistency conditions** for some T and SF sets of traces and stable failures corresponding to the same process, respectively:
 - 1 $\langle \rangle \in T \Rightarrow$ from trace semantics
 - 2 $tr_1 \leq tr_2 \wedge tr_2 \in T \implies tr_1 \in T \Rightarrow$ closure under prefix, from trace semantics
 - 3 $(tr, X) \in SF \implies tr \in T$
 - 4 $(tr, X) \in SF \wedge X' \subseteq X \implies (tr, X') \in SF$
 - 5 $(tr, X) \in SF \wedge \forall a \in X' : tr \frown \langle a \rangle \notin T \implies (tr, X \cup X') \in SF$
 - 6 $\forall X \subseteq \Sigma : tr \frown \langle \surd \rangle \in T \implies (tr \frown \langle \surd \rangle, X) \in SF$



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 - 3 $(tr, X) \in SF \implies tr \in T \Rightarrow$ first component of a stable failure is a trace (of the same process)
 - 4 $(tr, X) \in SF \wedge X' \subseteq X \implies (tr, X') \in SF \Rightarrow$ any subset of a refused set is also refused
 - 5 $(tr, X) \in SF \wedge \forall a \in X' : tr \frown \langle a \rangle \notin T \implies (tr, X \cup X') \in SF \Rightarrow$ a process can either perform an action or refuse it (never both)
 - 6 $\forall X \subseteq \Sigma : tr \frown \langle \surd \rangle \in T \implies (tr \frown \langle \surd \rangle, X) \in SF \Rightarrow$ a terminated process refuses anything



$\mathcal{SF}[P]$ denotes the stable failures of process P

- $\mathcal{SF}[\text{STOP}] = \{(\langle \rangle, X) : X \subseteq \Sigma^\vee\}$
- $\mathcal{SF}[a \rightarrow P] = \{(\langle \rangle, X) : a \notin X\} \cup \{(\langle a \rangle \frown tr, X) : (tr, X) \in \mathcal{SF}[P]\}$
- $\mathcal{SF}[x : A \rightarrow P] = \{(\langle \rangle, X) : A \cap X \neq \{\}\} \cup \{(\langle a \rangle \frown tr, X) : a \in A \wedge (tr, X) \in \mathcal{SF}[P]\}$
- $\mathcal{SF}[\text{SKIP}] = \{(\langle \rangle, X) : \checkmark \notin X\} \cup \{(\langle \checkmark \rangle, X) : X \subseteq \Sigma^\vee\}$
- $\text{traces}(\text{DIV}) = \{\langle \rangle\}$, $\mathcal{SF}[\text{DIV}] = \{\}$
- $\text{traces}(\text{CHAOS}) = \text{TRACE}$, $\mathcal{SF}[\text{CHAOS}] = \text{TRACE} \times 2^{\Sigma^\vee}$
 - $\text{traces}(\text{CHAOS}_A) = \{tr : \sigma(tr) \subseteq A\}$, $\mathcal{SF}[\text{CHAOS}_A] = \{(tr, X) : \sigma(tr) \subseteq A\}$
- $\mathcal{SF}[\text{RUN}] = \{(tr, X) : X = \{\} \vee \checkmark \in \sigma(tr)\}$
 - $\mathcal{SF}[\text{RUN}_A] = \{(tr, X) : \sigma(tr) \subseteq A \wedge (X \cap A = \{\} \vee \checkmark \in \sigma(tr))\}$
- $\mathcal{SF}[P \square Q] = \{(\langle \rangle, X) : (\langle \rangle, X) \in \mathcal{SF}[P] \cap \mathcal{SF}[Q]\} \cup \{(tr, X) : tr \neq \langle \rangle \wedge (tr, X) \in \mathcal{SF}[P] \cup \mathcal{SF}[Q]\}$
 - Idempotence, associativity, commutativity continue to hold
 - STOP continues to be a unit, but the zero is now $\text{RUN} \square \text{DIV}$:

$$P \square (\text{RUN} \square \text{DIV}) =_{\mathcal{SF}} \text{RUN} \square \text{DIV}$$

(\square $_{\mathcal{SF}}$ -zero)



PROCESS SEMANTICS (CONT'D)

- $SF[P \sqcap Q] = SF[P] \cup SF[Q]$

$$P \sqcap (Q \sqcap R) =_{SF} (P \sqcap Q) \sqcap (P \sqcap R) \quad (\sqcap - \sqcap - \text{dist})$$

- $SF[P \text{ A} \parallel_B Q] = \{(tr, X) : \exists X_P, X_Q \in 2^{\Sigma^\vee} :$
 $X \cap (A \cup B)^\vee = (X_P \cap A^\vee) \cup (X_Q \cap B^\vee) \wedge$
 $(tr \upharpoonright A, X_P) \in SF[P] \wedge (tr \upharpoonright B^\vee, X_Q) \in SF[Q] \wedge$
 $\sigma(tr) \subseteq (A \cup B)^\vee\}$

- All laws from the trace semantics hold, except \parallel -idem

- $SF[P \parallel\parallel Q] = \{(tr, X_P \cup X_Q) : \exists tr_P, tr_Q : tr \text{ interleaves } tr_P, tr_Q \wedge$
 $X_P \upharpoonright \Sigma = X_Q \upharpoonright \Sigma \wedge (tr_P, X_P) \in SF[P] \wedge (tr_Q, X_Q) \in SF[Q]\}$

- All laws from the trace semantics continue to apply, except $\parallel\parallel$ -zero which becomes:

$$P \parallel\parallel (RUN_\Sigma \parallel\parallel DIV) =_{SF} RUN_\Sigma \parallel\parallel DIV \quad (\parallel\parallel \text{ SF-zero})$$

- $SF[P \setminus A] = \{(tr \setminus A, X) : (tr, X \cup A) \in SF[P]\}$

- $SF[f(P)] = \{(f(tr), X) : (tr, f^{-1}(X)) \in SF[P]\}$

- $SF[f^{-1}(P)] = \{(tr, X) : (f(tr), f(X)) \in SF[P]\}$

PROCESS SEMANTICS (CONT'D)

- $\mathcal{SF}\llbracket P; Q \rrbracket = \{(tr, X) : (tr, X \cup \{\checkmark\}) \in \mathcal{SF}\llbracket P \rrbracket\} \cup$
 $\{(tr_1 \hat{\ } tr_2, X) : tr_1 \hat{\ } \langle \checkmark \rangle \in \text{traces}(P) \wedge (tr_2, X) \in \mathcal{SF}\llbracket Q \rrbracket\}$
- $\mathcal{SF}\llbracket P \Delta Q \rrbracket = \{(tr, X) : (tr, X) \in \mathcal{SF}\llbracket P \rrbracket \wedge$
 $(\checkmark \in \sigma(tr) \vee (\langle \rangle, X) \in \mathcal{SF}\llbracket Q \rrbracket)\} \cup$
 $\{(tr_1 \hat{\ } tr_2, X) : tr_1 \in \text{traces}P \wedge \checkmark \notin \sigma(tr_1) \wedge$
 $(tr_2, X) \in \mathcal{SF}\llbracket Q \rrbracket \wedge tr_2 \neq \langle \rangle\}$
- Recursion** needs a different SOS rule for the stable failure model to make unguarded expressions unstable:

$$\frac{}{N \xrightarrow{\tau} P} \quad [N = P]$$

- The minimal process for stable failures is *DIV*, and so

$$\mathcal{SF}\llbracket N = F(N) \rrbracket = \bigcup_{n \in \mathbb{N}} \mathcal{SF}\llbracket F^n(DIV) \rrbracket$$

- The laws of recursion unwinding and unique fixed points continue to hold

$$F(Y) \text{ guarded} \wedge (F(P_1) =_{SF} P_1) \wedge (F(P_2) =_{SF} P_2) \implies P_1 =_{SF} P_2 \quad (\text{UFP}_{SF})$$